# Remembering Pat O'Neil



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#### Who was Pat?

A database innovator who had a major role in developing some of the most popular data structures and algorithms used in today's database systems

# In the beginning

- Pat started his career as a mathematician
- Ph.D., 1969, in combinatorial math from Rockefeller University
- Assistant Professor of Math at MIT, 1970-1972

#### Pat's Erdos number is one!

- Erdős, Paul; O'Neil, Patrick E.
   On a generalization of Ramsey numbers.
   Discrete Math. 4 (1973), 29–35.
- https://mathscinet.ams.org/mathscinet/search/publdoc.html?co1=AND&pg1=INDI &pg2=INDI&r=1&s1=300269&s2=189017&sort=Newest&vfpref=html

### Moved to industry

- Worked on Model 204 database system at Computer Corporation of America
- Published its bitmap algorithm developed by Bill Mann
  - → Published at 2<sup>nd</sup> HPTS 1987
  - **300** citations
- Implemented B-trees (mid 1980's)

#### Returned to Academia

- 1988 moved to U. Mass Boston
- 1994, he published **Database: Principles Programming and Performance**, with his wife, Betty O'Neil.

#### **Escrow Transactions**

- An improvement of Gawlick's IMS FP MMDB.
  - Verify QOH ≥ constant Modify QOH = QOH - constant
- Reuter: value of QOH is a range [low, high] accounting for in-flight increments
- O'Neil: a generalized abstraction (programming model) and detailed design.
- Presented at 1<sup>st</sup> HPTS in 1985.
- Patrick E. O'Neil: **The Escrow Transactional Method.** ACM Trans. Database Syst. 11(4): 405-430 (1986)
- 300 citations

#### LRU-K

- Keeps track of the times of the last K references to popular database pages
- Uses this to estimate the interarrival time of references to each of those pages
- → Hence, identifies frequently used pages
- The LRU-K page replacement algorithm for database disk buffering

  E.J. O'Neil, P.E. O'Neil, <u>G Weikum</u> ACM Sigmod Record, 1993 dl.acm.org
- **1200** citations

### The Dangers of Replication

- Jim Gray, Pat Helland, Patrick E. O'Neil, <u>Dennis E. Shasha</u>:

  The Dangers of Replication and a Solution. <u>SIGMOD Conference 1996</u>: 173-182
- If every server broadcasts all updates to every other server, the explosion in message count is intolerable
- **1600** citations

# A Critique of ANSI SQL isolation levels

- At Microsoft, Hal Berenson wrote a memo to clear up confusion about isolation levels
- Pat was on sabbatical at Microsoft, read Hal's memo, and explained where the confusion came from: the ANSI SQL Standard.

### A Critique of ANSI SQL isolation levels (cont'd)

- ANSI SQL-92 [MS, ANSI] defines Isolation Levels in terms of phenomena: Dirty Reads, Non-Repeatable Reads, and Phantoms. This paper shows that these phenomena and the ANSI SQL definitions fail to characterize several popular isolation levels, including the standard locking implementations of the levels. Investigating the ambiguities of the phenomena leads to clearer definitions; in addition new phenomena that better characterize isolation types are introduced. An important multiversion isolation type, Snapshot Isolation, is defined.
- Hal Berenson, Philip A. Bernstein, Jim Gray, Jim Melton, Elizabeth J. O'Neil, Patrick E. O'Neil: A Critique of ANSI SQL Isolation Levels. SIGMOD Conference 1995: 1-10
- **1150** citations

#### Log-Structured Merge Tree

- The log-structured merge-tree (LSM-tree) 1996 ACTA INFORMATICA Patrick O'Neil, Edward Cheng, Dieter Gawlick, Elizabeth O'Neil
- Developed while on sabbatical at DEC DB group, Mountain View
- Paper completed while at Microsoft
- Used in <u>Bigtable</u>, <u>HBase</u>, <u>LevelDB</u>, <u>SQLite4</u>, <u>RocksDB</u>, <u>WiredTiger</u>, <u>Apache</u> <u>Cassandra</u>, and many others
- 800 citations

#### Isolation Level Definitions

- ANSI isolation levels were defined with locking in mind
- Pat collaborated on developing implementation-independent definitions
- Atul Adya, Barbara Liskov, Patrick E. O'Neil: Generalized Isolation Level Definitions. ICDE 2000: 67-78
- 200 citations

#### ORDPATH

- A decimal numbering of XML nodes that enable efficient storage and retrieva
- Patrick E. O'Neil, <u>Elizabeth J. O'Neil</u>, <u>Shankar Pal</u>, <u>Istvan Cseri</u>, <u>Gideon Schaller</u>, <u>Nigel Westbury</u>:

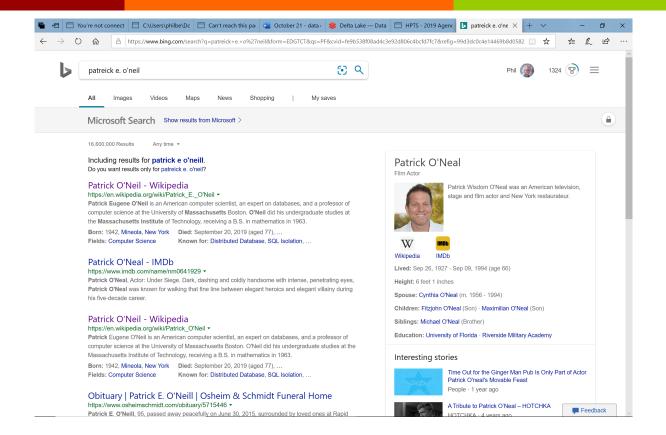
ORDPATHs: Insert-Friendly XML Node Labels. <u>SIGMOD Conference 2004</u>: 903-908

- Shipped in Microsoft SQL Server
- **7** 550 citations

#### Making Snapshot Isolation Serializable

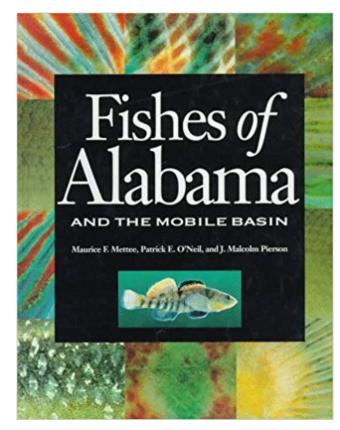
- Characterizes when nonserializable executions of applications can occur under SI.
- Apply this theory to demonstrate that the TPC-C benchmark application has no serialization anomalies under SI
- Alan Fekete, <u>Dimitrios Liarokapis</u>, <u>Elizabeth J. O'Neil</u>, <u>Patrick E. O'Neil</u>, <u>Dennis E. Shasha</u>: **Making snapshot isolation serializable.** <u>ACM Trans. Database Syst. 30(2):</u> 492-528 (2005)
- 350 citations

#### Web Search



# Ichtheology

- Fishes of Alabama and the Mobile Basin, 2004 by Maurice F. Mettee, Patrick E. O'Neil, J. Malcolm Pierson
- "Fishes of Alabama and the Mobile basin proved indispensable when I worked on a watershed assessment that included parts of the Coosa and Tallapoosa River drainages. We used this reference almost exclusively the dichotomous keys are superb!", review by A. Earnest
- 300 citations
- A different Pat O'Neil, of course.



### A Uniformly Funny Joke Book





- A man enters a bank holding a gun and says nobody should move, then demands money from the tellers. Once he has the money, he turns to a customer and asks, "Did you see me rob this bank?"
- "Yes sir, I did," the man replies. And the robber shoots him in the temple, killing him instantly.
- He then turns to a couple and asks the man, "Did you see me rob this bank?"
- "No sir, I didn't," the man answers. "But my wife did!"

# Final Remarks

- Weiwei Gong
- Betty O'Neil

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